

Department for Aging and Rehabilitative Services (DARS)

Home and Community Based Services (HCBS) Data Standards

August 21, 2014

Presentation to the HITSAC

Business Goals

- Enhance service delivery through integration of HCBS and Health Services
- Participate in Connect Virginia HIE and CCC Initiatives
- Promote Person-Centered Practices and Community Living
- Recognize HCBS as integral to an individual returning to or remaining in the Community
- Support Innovative Service Delivery (ie. Waivers, MCO's, MFP, PACE, Care Transitions, Peer Counseling and Options Counseling)
- Support Self-Direction, Advocacy and Choice

HCBS Data Standards

- Provides uniform guidelines for:
 - Standardized assessment/screening tools
 - Service taxonomies which categorize HCBS
 - Standardized referral transactions
 - Standardized service transactions
 - Accountability and billing

Multiple Entry Points into HCBS

CILs
AAAs
CSBs
LDSSs
LHDs

Local I&R
Agencies
(211)

Local
Government
Agencies

Family
Members &
Supporters

Acute Care
Systems
(Hospitals)

LTC
Facilities
(Section Q;
MFP)

VA
Medical
Centers
(VDHCBS)

Other
LTSS
Providers

Faith-Based
Community

State
Government
Agencies

CCC
MCOs

Challenges-Multiple Entry Points

- People fall through cracks between referral point and access/enrollment
- People must provide same information repetitively to each provider
- Duplication of information collected by providers
- Referrals are often based on Coordinator's knowledge, not individual choice
- No common community record to track HCBS for an individual

Standardized Assessment - UAI

UAI (Uniform Assessment Instrument)

www.dss.virginia.gov/family/as/servtoadult.cgi

- Used to assess the long-term service and support needs of older adults and individuals with disabilities
- Assists in identifying appropriate long-term services and supports necessary for individuals to remain in the community
- Designed to ensure standardized and equitable access to long-term services and supports for all individuals seeking service
- Aids in the effective and efficient coordination of supports within the service delivery system

Standardized Assessment - UAI

UAI (Uniform Assessment Instrument)

- Functioning is assessed in five dimensions:
Social and Economic Resources, Mental and Physical Health,
and Activities of Daily Living (ADLs), including:
 - Current formal services
 - Financial resources
 - Physical environment
 - ADLs/IADLs
 - Medical Admissions
 - Diagnoses
 - Medications List
 - Sensory functions
 - Nutrition
 - Cognitive Function
 - Behavior Patterns
 - Emotional Status

Standardized Assessment - UAI

UAI (Uniform Assessment Instrument)

- For Providers:
 - Comprehensively documents an individual's medical condition and support needs
 - Effectively facilitates the transfer and sharing of information among providers to accurately present service needs
- For Virginia State Agencies:
 - Assists with case management, monitoring, coordination and evaluation of long-term services and supports

Standardized Assessment - UAI

UAI (Uniform Assessment Instrument)

- Required by the Commonwealth of Virginia for many publicly funded long-term services and supports:
 - Screenings for LTC Assisted Living Facilities
 - Assessments for waivers and nursing facilities
 - Eligibility for Aging and Disability Services
- Standardized decision criteria related to the UAI have been developed by the Commonwealth of Virginia, including levels of care in Assisted Living Facilities, Medicaid-funded nursing facility placement and HCBS waiver services

HCBS Service Taxonomies

- 211 Virginia
- VirginiaNavigator
- Home and Community Based Supports (HCBS) for Medicaid waivers
- Health Care Provider (Health and HCBS) for Medicaid billing

HCBS Service Taxonomies

- 211 Virginia
 - Social Services
 - Family/Children
 - Immediate Community Needs
 - Telephone; Website
 - www.211Virginia.org
- VirginiaNavigator
 - Long Term Services and Supports (LTSS)
 - Older Adults/Caregivers and Individuals with Disabilities
 - HCBS and Facility Needs/Preferences; Transition Supports
 - Website; CRIA
 - www.VirginiaNavigator.org
 - www.SeniorNavigator.org
 - www.disAbilityNavigator.org

HCBS Service Taxonomies

- The Alliance of Information and Referral Systems (AIRS)
 - Membership Association for I&R Professionals
 - Leader in development of standards-driven Information and Referral (I&R)
 - AIRS I&R Taxonomy recognized as an international standard
 - Accreditations for I&R organizations and certifications for I&R Specialists
 - 211 and United Way
 - 9,000 fully-defined terms in hierarchical system
 - Complete range of human services

Referral Transactions - CRIA

- CRIA (Communication, Referral, Information & Assistance)
 - Share client data in a secure web-based environment
 - Make electronic automated referrals between providers
 - Track individual progress
 - Access reports related to referrals
 - Pitch/Catch

Referral Transactions - CRIA

- CRIA (Communication, Referral, Information & Assistance)
 - HIPPA compliant & Adheres to Virginia's Security Requirements
 - Two databases:
 - Client Profile Database
 - Community Resource Database
(VirginiaNavigator; Over 26,000 services/supports)
 - Search functionality - dependent drop-downs by program type and location
 - “Real-time” tracking of referral status: pending, accepted, rejected

Referral Transactions - CRIA

- CRIA (Communication, Referral, Information & Assistance)
 - Program details provide:
 - Contact information
 - Type of business
 - Brief description
 - Activities included
 - Eligibility information
 - Other languages spoken
 - Service area
 - Cost/payment
 - Hours of operation
 - How to access service

No Wrong Door Interfaces with HHR Portal and HL7 Data- Sharing Highway



"Care Advantage"
(Medication Management)

"All-Med Express"
(Assistive Technology)

"Comfort Keepers"
(Help with Chores)

"Access Chesterfield"
(Transportation)

"Meals on Wheels"
(Home Delivered Meals)

"Help with Housing"
(Home Modification)

"Circle Center"
(Adult Day Care)

DARS/CILs

DHP

VDDHH

DARS/AAAs

MEDICATION MANAGEMENT

ENERGY ASSIST

TANF

CSA

MEDICAID

ADULT DAY CARE

DBVI

ASSISTIVE TECHNOLOGY

HELP WITH COOKING

HOME DELIVERED MEALS

HOME MODIFICATION

SNAP

VDSS/LDSS

VFHY

FAMIS

TRANSPORTATION

WIC

VDH/Local HDs

VBPD

DMAS

DBHDS/CSBs

Community Needs Document

- Use the categories on consent form and explain relationship of document to consent

I want the following confidential information about the individual to be exchanged:

Yes *No*

- | | | |
|--------------------------|--------------------------|-------------------------------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Assessment Information |
| <input type="checkbox"/> | <input type="checkbox"/> | Financial Information |
| <input type="checkbox"/> | <input type="checkbox"/> | Benefits/Services Needed,
Planned, and/or Received |
| <input type="checkbox"/> | <input type="checkbox"/> | Substance Abuse Records |

Yes *No*

- | | | |
|--------------------------|--------------------------|-------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Medical Diagnosis |
| <input type="checkbox"/> | <input type="checkbox"/> | Mental Health Diagnosis |
| <input type="checkbox"/> | <input type="checkbox"/> | Medical Records |
| <input type="checkbox"/> | <input type="checkbox"/> | Psychological Records |

Yes *No*

- | | | |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | Educational Records |
| <input type="checkbox"/> | <input type="checkbox"/> | Psychiatric Records |
| <input type="checkbox"/> | <input type="checkbox"/> | Criminal Justice Records |
| <input type="checkbox"/> | <input type="checkbox"/> | Employment Records |
| <input type="checkbox"/> | <input type="checkbox"/> | All of the Above |

Other Information (write in): _____

Accountability and Billing

- HCBS Taxonomy development for CMS by Truven Health
- Taxonomy was mapped to Healthcare Common Procedure Coding System (HCPCS) Level II codes
- Medicaid uses the Health Care Provider Taxonomy for billing, which includes all health services and includes some HCBS
- CMS established a Medicaid HCBS Taxonomy to provide more information and establish accountability among providers for HCBS Waiver services

Stakeholders

- DARS and local partners: Area Agencies on Aging (AAA), Brain Injury Services Programs (BIS) and Centers for Independent Living (CIL)
- VDSS, DARS and Local Departments of Social Services (LDSS) and their community partners
- Local Community Services Boards (CSBs and DBHDS)
- Local Departments of Health (LHD and VDH)
- Private Providers of HCBS
- Hospitals/Health Systems
- Health Insurance Carriers, Managed Care Organizations and DMAS
- Information and Referral providers
- Data Standards Organizations

Using the Connect Virginia HIE

- Use of Taxonomy to develop referral transaction in a agency case management system (in this case the EMR equivalent is PeerPlace or Adage)
- Transaction for referral pitch with UAI info
- Transaction for referral catch with Service info
- Transaction for billing for services
- Transaction for Community Needs Document integrated into other HIE transactions
- Use of standard HL7 transactions with HCBS standard
- Data Analytics for HCBS

Next Steps

- Gap Analysis
- Revise Taxonomy maps between VirginiaNavigator, 211Virginia, and CMS Taxonomies
- Creation and availability of an API for Case EMR, EHR and HCBS Case Management Applications
- Develop HIE standard transactions for:
 - Referral
 - UAI
 - Service
 - Consumer Needs Document

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Data Standards

QUESTIONS?