

MINUTES
Commonwealth of Virginia
Identity Management Standards
Advisory Council (IMSAC)
Monday, March 7, 2016
Commonwealth Enterprise Solutions Center
11751 Meadowville Lane
Chester, VA 23836
Multipurpose Room 1222

ATTENDANCE

Members Present:

Dave Burhop
Katie Crepps
Jeremy Grant
Lisa Kimball
Tom Moran
Jeffrey Zubricki
Michael Watson

Others Present:

Dixit, Prashant (VITA)
Grubbs, Joseph (VITA)
Helmantoler, Nicole (VITA)
Jackson, Karen (SoTech)
Kissam, Todd (VITA)
Marian, Farnoosh (VITA)
Rodis-Bradshaw, Sheila (DMV)
Reiniger, Tim (FutureLaw)
Richards, Greg (OAG)
Sparkes, CA (VITA)

Members Absent:

Nelson Moe

CALL TO ORDER

Chairperson Lisa Kimball called the meeting to order at 11:10 p.m. in the VITA Multipurpose Room 1222 at the Commonwealth Enterprise Solutions Center in Chester, VA.

Note: The IMSAC meeting agenda packet including all of the presentation materials may be accessed on the VITA Web site at:

<http://vita.virginia.gov/About/default.aspx?id=6442474173>

OLD BUSINESS

Chairperson Kimball requested a motion to approve the minutes from the December 7, 2015, meeting and the motion was made and seconded by IMSAC members. Chairperson Kimball called the item and accepted the minutes as approved.

NEW BUSINESS

IMSAC Staff Administration Update

Mr. Michael Watson, Chief Information Security Officer of the Commonwealth, announced Ms. Nicole Helmantoler's leave from VITA. Information regarding the new staff administrator is forthcoming.

IMSAC Discussion Topics

Identity Management Legal and Policy Meeting, World Bank, Jan. 14, 2016: Report on Meeting Participation

Mr. Jeremy Grant, Managing Director of the Chertoff Group, presented on the Identity Management Legal and Policy Meeting hosted by the World Bank on January 14, 2016. The topics discussed were: 1) Trust, Acceptability, Interoperability, and Enforcement; Liability; Privacy and Security; Business and Technical Standards; and Participant Obligations and Related Issues. The International Identity Law and Policy Workshop Report can be accessed at: <http://www.vita.virginia.gov/About/default.aspx?id=6442474173>.

Mr. Watson introduced Secretary Karen Jackson who commented on the vision for IMSAC and identity management in the COV.

Operational Trust Frameworks: Current-State Analysis

Dr. Joseph Grubbs, Enterprise Information Architect Consultant, presented on the current state of operational trust frameworks. Mr. Grubbs first reviewed principles and components of trust frameworks, and then discussed the trust framework developed for Virginia's pilot project under the National Strategy for Trusted Identities in Cyberspace: the Cross Sector Digital Identity Initiative (CSDII).

Dr. Grubbs proposed that IMSAC extend the analytic device used for the CSDII trust framework Gap Analysis to focus on IMSAC requirements, apply the extended analytic device to map alignment and gaps in operational trust frameworks to IMSAC requirements, and prepare a summary white paper on findings, requirements and recommendations from the current-state analysis to inform IMSAC.

Mr. Burhop through questions established that IMSAC will not develop trust frameworks, but will instead advise the Secretary of Technology and provide guidance documents that will inform the enhancement of trust framework models. Secretary Jackson said she would be happy to provide letters of support to expedite the process. To a question regarding whether the

Commonwealth would become a certification authority for trust frameworks, Mr. Watson recommended that certifications be done by third parties.

Chairperson Kimball opened the floor to additional questions from IMSAC members. Seeing none, Chairperson Kimball closed the item.

Chairperson Kimball recessed the meeting for lunch at 12:24 p.m.

Chairperson Kimball called the meeting back to order at 1:09 p.m.

Chairperson Kimball requested a motion to accept the recommendations for IMSAC action as presented by Dr. Grubbs. A motion was made and seconded, and the request was unanimously approved at 1:10 p.m.

IMSAC Use Case Review

Identity Management Use Case: Health Information Technology Domain

Mr. Burhop, Deputy Commissioner and Chief Information Officer, DMV, discussed the Health Information Technology (HIT) domain use case. The use case targets trustworthy, compliant and secure e-authentication and Identity Management to serve as a gateway for patient and provider access to electronic health records (EHRs); envisions a secure, standards-based, privacy-enhancing alternative to the current application-centric or custom solution for electronic authentication; and leverages technical, governance and business-related advances made under the National Strategy for Trusted Identities in Cyberspace (NSTIC), and supporting efforts of the Identity Ecosystem Steering Group (IDESG), but broadens perspective to incorporate other governance models, standards and communities of interest. Ms. Crepps and Mr. Zubricki indicated that their organizations, Capital One and Walmart respectively, would both be open to a federated model.

The use case will be presented to the Health Information Technology Standards Advisory Committee (HITSAC) at its next meeting on May 12, 2016.

Identity Management Use Case: Electric Sector Fleet Movement Coordination

Mr. Moran, Executive Director of the All Hazards Consortium, presented the Geo-Collaboration Testbed Project use case. During large, regional storms and disasters, getting reliable situational awareness and information is difficult. Decision makers need the big picture. The solution is to create a visual, real-time mechanism to view and collaborate during a regional disaster, and merge information from disparate sources, in different formats, to provide decision makers a simple view of the big picture so they can make decisions faster.

Secretary Jackson suggested that IMSAC look at products already in the marketplace; this approach will allow for an expedited timeline without sacrificing quality. Although IMSAC will not write policies, it will identify what is already in the marketplace and what is missing. Regarding long-term maintenance, Mr. Moran suggested that states create a framework that is owned by the private sector.

Identity Management Use Case: Financial Sector

Ms. Crepps, Vice President of Card Tech, Capital One, introduced Mr. Mike Valdepenas to present the Financial Services Industry Identity Validation use case. Financial institutions are faced with three challenges relating to the identity of the applicant: 1) Is the applicant a real person, 2) Is the person submitting the application the actual person associated with the account, and 3) Does the applicant's credit history accurately reflect creditworthiness? The path to overcome the challenges around identity validation requires a multi-pronged approach: consumer awareness, data repositories, and authentication technology.

Chairperson Kimball opened the floor to additional questions from IMSAC members. Seeing none, Chairperson Kimball closed the item.

PUBLIC COMMENT

Chairperson Kimball called for public comment.

Mr. Todd Kissam, Enterprise Architecture Manager at VITA, discussed the availability of resources to support evolving IMSAC efforts. Secretary Jackson said that her office would support IMSAC.

Chairperson Kimball proposed the following timeline of action for IMSAC:

- March 25, 2016: deliver a list of interested parties to IMSAC Staff Administrator (TBD)
- Mid-April: establish milestones, deliverables
- May: complete an initial analysis of current trust frameworks with a formal presentation at the next IMSAC meeting
- June 6, 2016: IMSAC meeting

IMSAC members will be notified by e-mail when a new IMSAC Staff Administrator is identified. Communication should be conducted directly with the IMSAC Staff Administrator. All information presented today is public record and will be published on the VITA website.

Chairperson Kimball called for additional public comment. Seeing none, Chairperson Kimball closed the public comment item.

ADJOURNMENT

Chairperson Kimball opened the meeting for any final comments from IMSAC. Seeing none, Chairperson Kimball adjourned the meeting with consent from IMSAC members.