

MINUTES
Commonwealth of Virginia
Identity Management Standards Advisory Council (IMSAC)
Dedicated Meeting for Public Comment
Thursday, June 30, 2016
Commonwealth Enterprise Solutions Center
11751 Meadowville Lane
Chester, VA 23836
Multipurpose Room 1221

ATTENDANCE

Members Present:

Lisa Kimball, Chairperson
Sheila Rodis Bradshaw, proxy for Dave Burhop
Katie Crepps
Jeremy Grant
Jeffrey Zubricki
Michael Watson
Nelson Moe

Others Present:

Dr. Joseph Grubbs
Jay Smith
CA Sparkes

Members Absent:

Tom Moran

Call To Order

Chairperson Kimball called the meeting to order at 11:15 a.m. in multipurpose room 1221 at the Commonwealth Enterprise Solutions Center in Chester, VA.

Roll Call was taken for all members. Sheila Rodis Bradshaw sat in the meeting as a non-voting member as proxy for Dave Burhop. All other members were present, meeting quorum.

Note: The IMSAC meeting agenda packet including all of the presentation materials may be accessed on the VITA website at:

<http://www.vita.virginia.gov/default.aspx?id=6442474173>

Dedicated Meeting for Public Comment

Dr. Joseph W. Grubbs, Ph.D., IMSAC Staff Analyst, Virginia Information Technologies Agency, presented analysis and recommendations outlining the impact of the revisions to NIST SP 800-63-3 upon the following documents: *Commonwealth of Virginia Information Technology Resource Management (ITRM) Guidance Document: Trust Frameworks* and *Commonwealth of Virginia Information Technology Resource management (ITRM) Guidance Document: Identity Proofing and Verification*.

- Dr. Grubbs presented a brief of major changes and primary updates proposed for 800-63-3 and companion documents; additionally, he offered his recommendations to the council:
 - Revise drafts of the guidance documents to reflect NIST 800-63-3 updates
 - To document these edits as part of the public record
 - To complete this work pursuant to §2.2-437 and the Administrative Process Act, and
 - To bring the updated documents to the council during the September 2016 meeting
- Dr. Grubbs reminded the council of the mandate to make these documents (and associated work products, to include the architecture model, work plan and schedule) available for subject matter experts and interested parties to review and comment, citing the regulatory Town Hall as the appropriate forum.
- Mr. Grant asked if experts may present via remote means, being that several relevant experts from whom the council should hear may not be able to travel to Chesterfield, VA to address the body.

Public Comment

Mr. Timothy Reininger from FutureLaw Consulting, L.L.C. addressed the council on behalf of the National Notary Association and provided background information on the identity proofing standards utilized by notaries to authenticate their signers. Among his comments:

- In addition to the traditional physical presence standards, notary standards are moving towards establishing a virtual presence using electronic visual media as a means of proofing identity.
- Mr. Reininger stated that actions that the council takes, especially related to recommended Identity Proofing and Verification standards, will have an impact on notaries throughout the commonwealth.
- Chairperson Kimball and Ms. Crepps asked is notary laws vary greatly from state to state. Mr. Reininger responded:
 - While basic laws are similar, the laws for the state in which the material act is conducted / where the notary is commissioned take precedence.
 - Until 2011, all states required in-person authentication; as of June 9, 2016, the “big three” mortgage organizations (FannieMae, FreddieMac and Wells Fargo) allow for 2-way audio-visual electronic notarizations, to include interstate transactions.
- Mr. Reininger requested that the council consider all of this information, in addition to the proposed changes to 800-63-3-A, when creating recommendations for identity authentication and proofing standards.

Following Mr. Reininger’s comments, Chairperson Kimball called for additional comments, questions and/or discussion of the proposed amendments to the draft documents. Hearing nothing further, council business discontinued shortly after noon.

Action Items

- The Council tasked VITA staff to take action in accordance with Dr. Grubbs' recommendations concerning review and inclusion of information from NIST 800-63-3 and companion documents
- Craig Richardson from the Office of the Attorney General will conduct research to determine if the governance for the council permits remote presentations by interested parties

Adjournment

The meeting ended officially at 1:00 p.m. with council members remaining present until that time.